

# Big O Notation

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## 1 Comparing Algorithms

We have seen that in many cases we would like to compare two algorithms. Generally, the *efficiency* of an algorithm can be gauged by how long it takes to run as a function of the size of the input.

For example the efficiency of a graph algorithm might be measured as a function of the number of vertices or edges of the input graph. So, the Eulerian algorithm finds an Eulerian circuit after roughly  $m$  steps, where  $m$  is the number of edges in the input graph.

When considering the efficiency of an algorithm we always consider the worst case. The Hamiltonian circuit problem can be solved in roughly  $n!$  steps, by considering all possible circuits. We might be lucky and discover a Hamiltonian circuit on the first try, but we assume the worst case.

We now consider what we mean by *roughly*. We say that two functions  $f$  and  $g$  are of the same *order* if they behave similarly for large values of  $n$ , i.e.  $f(n) \approx g(n)$  for large  $n$ .

Consider the functions  $f(n) = n^2$  and  $g(n) = n^2 + 2n + 3$

$n$	1	10	20	50	100	200	300	400	500	1000
$n^2$	1	100	400	2500	10000	40000	90000	160000	250000	1000000
$n^2 + 2n + 3$	6	123	443	2603	10203	40403	90603	160803	251003	1002003
$2^n$	2	1024	1048576	$10^{15}$	$10^{30}$	$10^{60}$	$2 \times 10^{90}$	$2.5 \times 10^{120}$	$3 \times 10^{150}$	$10^{301}$

Clearly  $n^2$  and  $n^2 + 2n + 3$  are of the same order, whereas  $2^n$  is not.

### 1.1 Big O

**Definition 1** Given a function  $f : \mathbb{R} \rightarrow \mathbb{R}$ , or the corresponding sequence  $a_n = f(n)$ .

1.  $\Omega(f)$  = functions that are of equal or greater order than  $f$ . All functions that are larger than some constant multiple of  $|f(x)|$  for sufficiently large values of  $x$ .

$$\Omega(f) = \{g(x) \mid \exists A, a \in \mathbb{R}^+ \text{ such that } A|f(x)| \leq |g(x)| \text{ for all } x > a\}$$

2.  $O(f)$  = functions that are of equal or less order than  $f$ . All functions that are smaller than some constant multiple of  $|f(x)|$  for sufficiently large values of  $x$ .

$$O(f) = \{g(x) \mid \exists A, a \in \mathbb{R}^+ \text{ such that } |g(x)| \leq A|f(x)| \text{ for all } x > a\}$$

3.  $\Theta(x)$  = functions that are of the same order as  $f$ . All functions that are always between two constant multiples of  $|f(x)|$  for sufficiently large values of  $x$ .

$$\Theta(f) = \{g(x) \mid \exists A, B, a \in \mathbb{R}^+ \text{ such that } A|f(x)| \leq |g(x)| \leq B|f(x)| \text{ for all } x > a\}$$

4.  $o(f)$  = functions that are of strictly lesser order than  $f$ .  $o(f) = O(f) - \Theta(f)$

If  $g \in O(f)$  we say  $g$  is of order  $f$ , many authors abuse notation by writing  $g = O(f)$ . Alternately, we can define order by using the notion of the limit of the ratio of sequences tending to a value.

**Definition 2** Given a function  $f : \mathbb{R} \rightarrow \mathbb{R}$ , or the corresponding sequence  $a_n = f(n)$ .

1.  $\Omega(f)$ .

$$\Omega(f) = \left\{ g(x) \mid \lim_{x \rightarrow \infty} \left| \frac{g(x)}{f(x)} \right| > 0 \right\}.$$

2.  $O(f)$

$$O(f) = \left\{ g(x) \mid \lim_{x \rightarrow \infty} \left| \frac{g(x)}{f(x)} \right| < \infty \right\}.$$

3.  $\Theta(f)$

$$\Theta(f) = \left\{ g(x) \mid \lim_{x \rightarrow \infty} \left| \frac{g(x)}{f(x)} \right| = L, 0 < L < \infty \right\}.$$

4.  $o(f)$

$$o(f) = \left\{ g(x) \mid \lim_{x \rightarrow \infty} \left| \frac{g(x)}{f(x)} \right| = 0 \right\}.$$

- $g \in \Omega(f)$  means that  $g$  is of equal or greater order than  $f$ .
- $g \in \Theta(f)$  means that  $f$  and  $g$  are roughly the same order of magnitude.
- $g \in O(f)$  means that  $g$  is of lesser or equal magnitude than  $f$ .
- $g \in o(f)$  means that  $g$  is of lesser magnitude than  $f$ .

Big  $O$  is by far the most commonly used and it is very common to say  $f \in O(g)$  ( $f$  is at most order  $g$ ) when what is really meant is that  $f \in \Theta(g)$  ( $f$  and  $g$  are the same order).

### Example 3

Show that  $2^n \in o(3^n)$ .

Consider  $\lim_{n \rightarrow \infty} \frac{2^n}{3^n} = \lim_{n \rightarrow \infty} \left( \frac{2}{3} \right)^n = 0$ . So  $2^n \in o(3^n)$ .

(Also  $\lim_{n \rightarrow \infty} \frac{3^n}{2^n} = \lim_{n \rightarrow \infty} \left( \frac{3}{2} \right)^n = \infty$ . So  $3^n \in \Omega(2^n)$ .)

**Theorem 4 (9.2.1)** Let  $f, g, h$  and  $k$  be real valued functions defined on the same set of nonnegative reals, then:

1.  $\Omega(f) \cap O(f) = \Theta(f)$ .
2.  $f \in \Omega(g) \Leftrightarrow g \in O(f)$ .

3. (Reflexivity of O)  $f \in O(f)$ ,  $f \in \Omega(f)$  and  $f \in \Theta(f)$ .
4. (Transitivity of O) If  $f \in O(g)$  and  $g \in O(h)$  then  $f \in O(h)$ .
5. If  $f \in O(g)$  and  $c \in \mathbb{R} - \{0\}$  then  $c \cdot f(x) \in O(g)$
6. If  $f \in O(h)$  and  $g \in O(k)$  then  $f(x) + g(x) \in O(G(x))$  where  $G(x) = \max(|h(x)|, |k(x)|)$ .
7. If  $f(x) \in O(h)$  and  $g \in O(k)$  then  $f(x) \cdot g(x) \in O(h(x) \cdot k(x))$ .

In fact a more useful rule than 6 is:

8. If  $f \in O(h)$  and  $g \in O(h)$  then  $f(x) + g(x) \in O(h)$ .
9. If  $f \in o(g)$  then  $g \in \Omega(f) - \Theta(f)$
10.  $o(f) \subseteq O(f)$ .

## 1.2 Types of Order

The orders form a hierarchy in the sense that if  $g$  is in a lower member of the hierarchy it is in all higher members. This is essentially the statement of transitivity (4).

The following is a list of common types of orders and their names:

Notation	Name
$O(1)$	Constant
$O(\log(n))$	Logarithmic
$O(\log(\log(n)))$	Double logarithmic (iterative logarithmic)
$o(n)$	Sublinear
$O(n)$	Linear
$O(n \log(n))$	Loglinear, Linearithmic, Quasilinear or Supralinear
$O(n^2)$	Quadratic
$O(n^3)$	Cubic
$O(n^c)$	Polynomial (different class for each $c > 1$ )
$O(c^n)$	Exponential (different class for each $c > 1$ )
$O(n!)$	Factorial
$O(n^n)$	- (Yuck!)

Rules 5 and 8 are particularly useful for finding which class a function belongs.

### Example 5

1.  $2^n + n^2 \in O(2^n)$
2.  $2n^3 + 3n^2 - 2n + 5$  is  $O(n^3)$  (cubic).
3.  $(2n^3 + 3n^2 - 2n + 5)^{\frac{1}{3}}$  is  $O(n)$  (linear).

In general a polynomial is of the order of its highest term.

**Theorem 6** Given a polynomial  $f$ , if the highest power of  $f$  is  $x^r$ :

- If  $r < s$  then  $f \in o(x^s) \subseteq O(x^s)$ .
- If  $r = s$  then  $f \in \Theta(x^s) \subseteq O(x^s)$ .
- If  $r > s$  then  $f \in \Omega(x^s)$ .

**Proof:** Let  $f$  be a polynomial with highest power  $x^r$ . So  $f(x) = a_r x^r + a_{r-1} x^{r-1} + \dots + a_1 x + a_0$ . Now let  $s \in \mathbb{R}$  and consider

$$\begin{aligned} \lim_{x \rightarrow \infty} \frac{f(x)}{x^s} &= \lim_{x \rightarrow \infty} \frac{a_r x^r + a_{r-1} x^{r-1} + \dots + a_1 x + a_0}{x^s} \\ &= \lim_{x \rightarrow \infty} a_r x^{r-s} + a_{r-1} x^{r-1-s} + \dots + a_1 x^{1-s} + a_0 x^{-s} \\ &= \begin{cases} 0 & r < s \\ a_r & r = s \\ \infty & r > s \end{cases} \end{aligned}$$

### Example 7

1.  $2n^3 + 3n^2 - 2n + 5 \in O(n^3)$
2.  $(10^{123})n^2 - 3670n + 5 \in o(n^3)$
3.  $0.000000001n^3 \in \Omega(n^2)$

## 1.3 Algorithms

The primary use of order notation in computer science is to compare the efficiency of algorithms. Big  $O$  notation is especially useful when analyzing the efficiency of algorithms. In this case  $n$  is the size of the input and  $f(n)$  is the running time of the algorithm relative to input size.

Suppose that we have two algorithms to solve a problem, we may compare them by comparing their orders.

In the following table we suppose that a linear algorithm can do a problem up to size 1000 in 1 second. We then use this to calculate how large a problem this algorithm could handle in 1 minute and 1 hour. We also calculate the largest tractable problem for other algorithms with the given speeds.

Running time	Maximum problem size		
	1 sec	1 min.	1 hr.
$n$	1000	$6 \times 10^4$	$3.6 \times 10^6$
$n \log(n)$	140	4893	$2 \times 10^5$
$n^2$	31	244	1897
$n^3$	10	39	153
$2^n$	9	15	21

In the following table we suppose that the next generation of computers are 10 times faster than the current ones. We then calculate how much bigger the largest tractable problem is for the given algorithm speed.

Running time	After 10× speedup
$n$	$\times 10$
$n \log(n)$	$\approx \times 10$
$n^2$	$\times 3.16$
$n^3$	$\times 2.15$
$2^n$	$+3.3$

## 2 Solving Polynomial Series Equations

We will need to manipulate some equations involving series.

**Theorem 8** Given two series  $f(i)$  and  $g(i)$  and a constant  $a$ :

$$1. \sum_{i=1}^n (f(i) + g(i)) = \sum_{i=1}^n f(i) + \sum_{i=1}^n g(i)$$

$$2. \sum_{i=1}^n af(i) = a \sum_{i=1}^n f(i)$$

*i.e.* The operation of summation from the set of series to  $\mathbb{R}$  is linear.

Proof:

Let  $f(i)$  and  $g(i)$  be two series and  $a$  be a constant.

$$\begin{aligned} 1. \sum_{i=1}^n (f(i) + g(i)) &= (f(1) + g(1)) + (f(2) + g(2)) + \dots + (f(n) + g(n)) \\ &= [f(1) + f(2) + f(3) + \dots + f(n)] + [g(1) + g(2) + g(3) + \dots + g(n)] \\ &= \sum_{i=1}^n f(i) + \sum_{i=1}^n g(i) \end{aligned}$$

$$\begin{aligned} 2. \sum_{i=1}^n af(i) &= af(1) + af(2) + af(3) + \dots + af(n) \\ &= a(f(1) + f(2) + f(3) + \dots + f(n)) \\ &= a \sum_{i=1}^n f(i) \end{aligned}$$

We will make extensive use of the formulas ( $a$  is a constant)

$$\begin{aligned} a + a + \dots + a &= \sum_{i=1}^n a = na \\ 1 + 2 + \dots + n &= \sum_{i=1}^n i = \frac{1}{2}n(n+1) \\ 1^2 + 2^2 + \dots + n^2 &= \sum_{i=1}^n i^2 = \frac{1}{6}n(n+1)(2n+1) \end{aligned}$$

When confronted with a series  $\sum_{i=1}^n f(i)$  involving powers of  $i$  we first collect coefficients in  $f(i)$  so that it is expressed as a sum of powers of  $i$ ,  $f(i) = a_0 + a_1i + a_2i^2 + \dots + a_ni^n$ . Now we use the formulas for powers of summing  $i$ . We use  $p_k(n)$  to represent the formula giving  $\sum_{i=1}^n i^k$ . i.e.  $\sum_{i=1}^n i^k = p_k(n)$ .

$$\begin{aligned}
 & \sum_{i=1}^n f(i) \\
 = & \sum_{i=1}^n (a_0 + a_1i + a_2i^2 + \dots + a_ni^n) \\
 = & \sum_{i=1}^n a_0 + \sum_{i=1}^n a_1i + \sum_{i=1}^n a_2i^2 + \dots + \sum_{i=1}^n a_ni^n \\
 = & na_0 + a_1\frac{1}{2}n(n+1)i + \frac{1}{6}a_2n(n+1)(2n+1) + \dots + a_np_n(n)
 \end{aligned}$$

We then simplify by collecting powers of  $n$ .